



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,456	12/28/2000	Glenn Friedrich	LEX-0286-USA

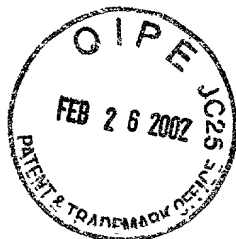
CONFIRMATION NO. 6630

## FORMALITIES LETTER



\*OC000000007412703\*

Lance K. Ishimoto  
Lexicon Genetics Incorporated  
4000 Research Forest Drive  
The Woodlands, TX 77381



Date Mailed: 02/04/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

patin21help@uspto.gov or patin3help@uspto.gov

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*A copy of this notice MUST be returned with the reply.*

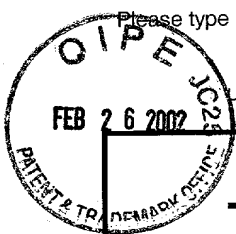
*J Stokes*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03 07 2013 10:00 AM



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

45

Application Number

09/750,456

Filing Date

Dec 28, 2000

First Named Inventor

Friedrich, Glenn

Group Art Unit

1653

Examiner Name

Not Yet Assigned

Attorney Docket Number

LEX-0286-USA

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

Remarks

Substitute Specification = 21 pages

Substitute Drawings = 2 drawings/18 pages total

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
identify below):

- Return Postcard

- Response to Notice to File Corrected  
Application Papers

- Return Copy of Notice to File Corrected  
Application Papers

- Copy of Verified Statement re: Sequence Listing  
in CRF originally filed with Response to  
Missing Parts

- Substitute Specification with corrected margins  
- Substitute Drawings with corrected margins

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Lance K. Ishimoto, Reg. No. 41,866  
Lexicon Genetics Incorporated



Signature

Lance K. Ishimoto by David W. Hubler  
DAVID W. HUBLER  
REG. NO. 41,071

24231  
PATENT TRADEMARK OFFICE

Date

February 12, 2002

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: February 12, 2002

Typed or printed name

Michelle Klein

Signature

Michelle Klein

Date

February 12, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Friedrich *et al.*

Application No.: 09/750,456

Group Art Unit: 1653

Filed: December 28, 2000

Examiner: Not Yet Assigned

Title: Novel Mutated Mammalian Cells and Animals

Attorney Docket No.: LEX-0286-USA

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers ("the present Notice") mailed February 4, 2002, in connection with the above-identified application, Applicants submit herewith: (i) a Verified Statement Concerning Sequence Listing Under 37 C.F.R. § 1.821(f); (ii) a Substitute Specification Under 37 C.F.R. § 1.52; and (iii) Substitute Drawings Under 37 C.F.R. § 1.84.

With the present Notice, Applicants were provided with a Withdrawal of Previously Sent Notice referring to the Notice of Incomplete Reply (Nonprovisional) dated November 7, 2001. The Notice of Incomplete Reply concerned materials that were sent by Applicants to the Patent and Trademark Office ("The Office") with the Response to Notice to File Missing Parts, dated April 3, 2001. These materials were received by The Office, as evidenced by the stamped return postcard received by Applicants. Therefore, the materials requested in the Notice of Incomplete Reply have been misplaced by The Office.

Furthermore, a timely filing of the Response to Notice of Incomplete Reply to prevent abandonment was impossible, as the Notice of Incomplete Reply was mailed after the final date set for response. These facts were confirmed during a telephone conference between Applicants' representative Mr. David Hibler and Customer Service Representative Ms. Stokes. During the telephone conference, Ms. Stokes indicated that the present Notice would be sent, and that the Notice of Incomplete

Reply dated November 7, 2001, should be disregarded, as confirmed by the Withdrawal of Previously Sent Notice. Therefore, Applicants are providing herewith the required items identified on the present Notice, which are copies of materials originally provided to the Office on August 2, 2001.

Applicants believe that no fees are due in connection with this response. However, the Commissioner is authorized to charge any required fee to Deposit Account No. 50-0892.

Respectfully submitted,

2/12/02  
Date

*Lance K. Ishimoto by David W. Hieber* *DAVID W. HIEBER*  
*Reg. No. 41,071*  
Lance K. Ishimoto Reg. No. 41,866

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